



# On Coloring of Arc graphs

Iskandar Karapetian

Aris Tentes

NTUA

Network Algorithms



# The problem

- We have a circular graph and some paths (arcs) on it
- We want to color these arcs in such a way that no two overlapping arcs are colored with the same color
- We also want to use as few colors as possible



# Notation

- $L$ =max load
- $\omega$ =max clique of intersection graph
- $p$ =point with load  $L$
- $\chi$ =chromatic number
- $f = [f^-, f^+]$ , the arc endpoints clockwise
- $a \leq b$ , if  $a$  in  $[p, b]$  (clockwise)
- $a \geq b$ , if  $a$  not in  $[p, b]$  (clockwise)



# Alan Tucker

- It is easy to see, that  $\chi \leq 2L-1$
- **Theorem:** If no three arcs cover the circle, then  $\chi \leq \frac{3}{2} L$



# Steps of proof

- Induction. If  $L=1$ , trivial.
- Suppose it holds for  $L-1$
- Take the arc containing  $p$  with the shortest length counter-clock size of  $p$ .
- Take successively the nearest, non intersecting arcs, starting with  $p$ , until we pass  $p$  three times.
- The inductive hypothesis holds for the rest of the arcs
- The selected arcs can be three colored.



# This Paper

- **Theorem:** For all circular arc graphs  $\chi \leq 3/2\omega$ .
- **Corollary:** Tucker's Theorem.



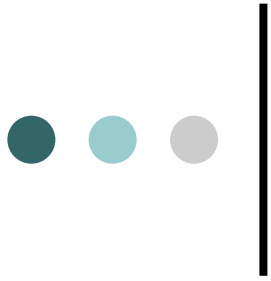
# Sweep Subroutines

## ○ **Clockwise-Sweep( $F, f$ )**

1. Start with  $f$ .
2. Select from  $F := F \setminus \{\text{arcs not intersecting with } f\}$  the arc,  $g$ , with the nearest  $g^-$  to  $f^+$ .
3. Repeat 2 with  $f := g$ , until  $F$  is empty.

## ● **Counter-Clockwise-Sweep( $F, f$ )**

1. Start with  $f$ .
2. Select from  $F := F \setminus \{\text{arcs not intersecting with } f\}$  the arc,  $g$ , with the nearest  $g^+$  to  $f^-$ .
3. Repeat 2 with  $f := g$ , until  $F$  is empty.



- The set of arcs selected by a sweep routine contains pair wise independent arcs.
- Let  $F$  denote the set of all arcs.
- Let  $\{f_1, \dots, f_L\}$  be the set of all arcs containing  $p$ , s.t.  $f_i^+ \leq f_{i+1}^+$





# The Coloring Algorithm

1.  $G := F \setminus \{f_1, \dots, f_L\}$
2. For  $i := 1, \dots, L/2$ 
  1. Select  $g$  in  $G$  with the nearest to  $p$  starting point
  2. The set  $A_i = \text{Clockwise-Sweep}(G, g)$  is colored with color  $L+i$ .
  3.  $G := G \setminus A_i$
3.  $G := G \cup \{f_1, \dots, f_L\}$
4. For  $i := L, \dots, 1$ 
  1. The set  $B_i = \text{Counter-Clockwise-Sweep}(G, f_i)$  is colored with color  $i$ .
  2.  $G := G \setminus B_i$



# Proof of Coloring Algorithm

- Our objective is to show that the set  $G$  is empty after step 4.
- Then, we will obviously have a  $3/2L$  coloring.
- We suppose that  $L=\omega$  wlog, because if  $L<\omega$  we can add arcs, s.t.  $L=\omega$  without changing  $\chi$ .
- We are going to use the following three lemmata



# Lemma 1

If after step 2,  $g$  in  $G$ , then for each  $i=1, \dots, L/2$ , there exists  $a_i$  in  $A_i$ , s.t.  $a_i$  contains  $g^-$

- Or else  $g$ , would be selected for some  $i$ .
- Observation:  $g^-$  has load at least  $L/2$



## Lemma 2

If after step 4,  $g$  in  $G$ , then there exists  $k$ ,  $2 \leq k \leq L$ , s.t.  $g^- \geq f_{k-1}^+$   
and  $g^- \leq f_k^+$

Moreover  $k-1 > L/2$ .

### ○ Proof:

- If  $k=1$ , then  $g$  overlaps with  $\{f_1, \dots, f_L\}$ , which implies a load of  $L+1$
- If  $k > L$ , then by a similar argument as before we are lead to a load of  $L+1$
- The lemma 1 holds for  $g$ . In addition the arcs in  $\{g, f_k, \dots, f_L\}$  contain  $g^-$ . By counting we have the above result.



## Lemma 3

If after step 4,  $g$  in  $G$ , s.t.  $g^- \geq f_{k-1}^+$  and  $g^- \leq f_k^+$  then for each  $h \in (\cup_{i=k}^L B_i) \setminus \{f_k, \dots, f_L\}$ ,  $h^- \leq g^+$ .

Proof: Suppose there is an  $h$ , take the closest to  $g$ . We are going to find a point with load  $L+1$

- For  $1 \leq i \leq k-1$

- An analogous to lemma 1 holds, thus define the sets

$U = \{b_i \in B_i : g^+ \in b_i \wedge h^- \in b_i, 1 \leq i \leq k-1\}$  and  $V = \{b_i \in B_i : g^+ \in b_i \wedge h^- \notin b_i, 1 \leq i \leq k-1\} \cup \{g\}$

- Observe that  $k-1 + L/2 - L < |U| \leq L/2$



# ...Proof

- For  $k \leq i \leq L$

- Define the sets

$I = \{i : \text{there is } b_i \in B_i \text{ s.t. } h^- \in b_i, k \leq i \leq L\}$  and  $J = \{i : \text{there is no } b_i \in B_i \text{ s.t. } h^- \in b_i, k \leq i \leq L\}$

- We can prove that  $|J| \geq L - (k-1) - L/2 + |U|$
  - Let  $j$  in  $J$  be the smallest, s.t. none of the arcs in  $B_j$  contain  $g^+$  (there is one..) and  $J' = J |_{i < j}$
  - Observe that each element of  $(\bigcup \{b_i : i \text{ in } J'\})$  must intersect with  $f_j$  and hence with the rest through  $f_L$ .



# ...Proof

- Let  $q$  be the intersection beginning point of  $(V \cup \{b_i : i \in J'\})$
- Lemma 1 holds for this point
- Therefore the load at  $q$  is

$$\begin{aligned} |(V \cup \{b_i : i \in J'\})| + |\{f_j, \dots, f_L\}| + L/2 &\geq |V| + |J| + L/2 \\ &\geq \dots \geq L + 1 \end{aligned}$$

...Contradiction...



## ...Proof of theorem

- Assume that the set  $G$  is not empty (there is a  $g$  in  $G$ ) after step 4.
- We are going to construct a set of  $L+1$ , pair wise intersecting arcs, which is a contradiction.

- Let the sets  $H_{k-1}, \dots, H_L$  be defined as follows:

- $H_{k-1} = \{g\} \cup \{b_i \in B_i : g^+ \in b_i, 1 \leq i \leq k-1\}$

- $H_{i+1} = \begin{cases} H_i \cup \{f_{i+1}\}, & \text{if } f_i \text{ intersects all arcs of } H_i \\ H_i \cup \{s_{i+1}\}, & \text{else} \end{cases}$

where  $s_i$  the second arc added in Counter-Clockwise Sweep



## ...Proof of theorem

- Suppose that  $j$  is the smallest index, s.t. the arcs of  $H_j$  are not mutually intersecting.
- Clearly  $H_j = H_{j-1} \cup \{s_j\}$  and there is an  $h$  in  $H_{j-1}$  which does not intersect with  $s_j$ .
- Either
  - $h \in (H_{j-1} \cap \{s_k, \dots, s_{j-1}\}) \cup H_{k-1}$  or
  - $h \in H_{j-1} \cap \{f_k, \dots, f_{j-1}\}$  .
- However, the arcs in the first set are all overlapping and the second case leads us to a contradiction.



## ...first case

- All arcs in  $H_{k-1}$  contain  $g^+$
- The same holds for  $H = H_j \cap \{s_k, \dots, s_j\} = \{s_i, \dots, s_l\}$
- By induction. Suppose it holds for  $\{s_i, \dots, s_{m-1}\}$ . We will see, that it holds for  $s_m$  also.
- Because  $s_m$  in  $H_j$  there is a  $u$  which does not intersect  $f_m$  but contains  $g^+$  (induction hypoth.)
- Since  $u$  was not selected in  $B_m$ ,  $u^+ \leq s_m^+$
- Because of lemma 3, we have the inclusion of  $g^+$



## ...second case

- Namely,  $h \in H_{j-1} \cap \{f_k, \dots, f_{j-1}\}$
- Since,  $H_j = H_{j-1} \cup \{s_j\}$  there is an arc  $v \in H_{j-1} \setminus \{f_k, \dots, f_{j-1}\}$  which does not intersect with  $f_j$ .
- Since  $v$  was not selected in  $B_j$ , we have  $v^+ \leq s_j^+$
- Observe that  $v$  does not intersect with  $h$ .
- Contradiction due to the definition of  $j$ .
- **G is empty. The proof is complete.**