

# The Relative Complexity of Approximate Counting Problems

Michael Lampis

`mlambis@softlab.ntua.gr`

NTUA

# Outline

## • Definitions

- Approximate Counting and FPRAS
- Approximation Preserving (AP) and Parsimonious reductions
- Fundamental Problems (#SAT and #BIS)

## • Classes

- Problems that admit an FPRAS
- Problems AP-interreducible with #BIS
- Problems AP-interreducible with #SAT

## • Problems of intermediate Complexity

# Approximate Counting

- #P: Counting versions of problems in NP
- FPRAS: A probabilistic Turing machine with input  $(x, \epsilon)$  approximating a function  $f(x)$  has output  $Y$  s.t.  $Pr(f(x)e^{-\epsilon} \leq Y \leq f(x)e^{\epsilon}) \geq \frac{3}{4}$  and running time polynomial in  $|x|, \epsilon^{-1}$ .

# AP reductions

- Reduction of  $A$  to  $B$ : a TM with a RAS oracle for  $B$  which runs in time  $\text{poly}(|x|, \epsilon^{-1})$  and only asks for an error bound  $\text{poly}(|x|, \epsilon^{-1})$
- Parsimonious Reduction: a reduction which preserves the number of solutions
- AP-reductions are very liberal, Parsimonious reductions are very strict.

# #SAT and #BIS

- #SAT: Compute the number of satisfying assignments to a CNF formula
- #BIS: Compute the number of independent sets in a bipartite graph
- #SAT is #P-complete with respect to AP-reducibility because Cook's theorem uses parsimonious reductions. It admits no FPRAS unless  $NP=RP$

# Counting version of NP-COMplete problems

•  $A \in \text{NP-COMplete} \rightarrow \#A \in \#P\text{-COMplete}$   
(AP)

• Proof:

• We need to show that  $\#SAT \leq_{AP} \#A$

•  $\#SAT$  admits an FPRAS if we have an oracle for SAT (Valiant and Vazirani)

• An oracle for SAT can be replaced by a RAS for  $\#A$

# #LARGEIS and #IS (i)

- #LARGEIS: Given an integer  $m$  and a graph whose maximum independent sets are of size  $m$ , how many maximum independent sets are there?
- #LARGEIS  $\equiv_{AP}$  #SAT because the decision version is NP-COMPLETE
- #IS: Given a graph compute the number of independent sets (of any size)
- #LARGEIS  $\equiv_{AP}$  #IS

# #LARGEIS and #IS (ii)

## Proof: (boosting technique)

- Construct a new graph from  $G = (V, E)$ ,  $V' = V \times [r]$ ,  
 $E' = \{\{(u, i), (v, j)\} : \{u, v\} \in E \wedge i, j \in [r]\}$
- Independent sets in  $G'$  project naturally to independent sets in  $G$
- $(2^r - 1)^m$  different i.s. in  $G'$  project to the same size- $m$  i.s. in  $G$
- Thus  $|I(G')| \geq (2^r - 1)^m |I_m(G)|$
- i.s. projecting to i.s. of size less than  $m$  are at most  $(2^r - 1)^{m-1} 2^n$ , thus

$$|I(G')| \leq (2^r - 1)^m |I_m(G)| + (2^r - 1)^{m-1} 2^n$$

$$\rightarrow |I_m(G)| = \left\lfloor \frac{|I(G')|}{(2^r - 1)^m} \right\rfloor$$



# $H$ -colorings

- An  $H$ -coloring of a graph  $G$  is a homomorphism from  $G$  to  $H$  such that adjacent vertices are mapped to adjacent vertices ( $H$  may contain self loops).
- Examples:  $K_q$ -colorings  $\equiv$  normal  $q$ -colorings,  $K_2^1$ -colorings  $\equiv$  independent sets
- **#Q-PARTICLE-WR-CONFIGS**: The number of  $S_q^*$ -colorings of a graph  $G$ , where  $S_q^*$  is the  $q$ -leaf star with loops on every vertex

# Problems interreducible with #BIS

- # $P_4$ -COL: The number of  $P_4$ -colorings where  $P_4$  is a path of 4 nodes
- #DOWNSETS: The number of downsets in a partial order
- #1P1NSAT: The number of satisfying assignments of a restricted CNF formula
- #BEACHCONFIGS: The number of  $P_4^*$ -colorings of a graph  $G$

# Easy reductions

- $\#BIS \equiv_{AP} \#P_4\text{-COL}$ : Nodes with colors 1 and 4 form an independent set.
- $\#DOWNSETS \equiv_{AP} \#1P1NSAT$ :  $\#DOWNSETS$  is  $\#1P1NSAT$  without cycles and single-variable clauses

# #BIS $\leq_{AP}$ #2-PARTICLE-WR-CONFIG

- Given  $B = (X, Y, A)$ , let  $U_i, 0 \leq i \leq n - 1, K$  be disjoint sets of size  $3n$ .
- $V' = \bigcup_{i \in [n]} U_i \cup \{v_0, \dots, v_{n-1}\} \cup K,$   
 $E' = \bigcup_{i \in [n]} U_i^{(2)} \cup (v_0, \dots, v_{n-1} \times K) \cup K^{(2)} \cup \bigcup \{U_i \times \{v_j\} : (x_i, y_j) \in A\}$
- full WR configuration: all cliques are bichromatic
- Colors: red, green, white. Suppose  $C(K) = (r, w)$ . Project colorings to independent sets:  $I = \{x_i : g \in C(U_i)\} \cup \{y_j : C(v_j) = r\}$
- $2(2^{3n} - 2)^{n+1}$  ways
- non-full configurations  $\leq 3(n + 1)(2 \cdot 2^{3n})^n 3^n$
- $|I(B)| = \left\lfloor \frac{|W(G)|}{2(2^{3n} - 2)^{n+1}} \right\rfloor$

# #2-P-WR-C $\leq_{AP}$ #BEACHCONFIGS

- $V' = V \cup \{s\} \cup [r],$   
 $E' = E \cup (V \times \{s\}) \cup (\{s\} \times [r])$
- $|B(G')| = 2 \cdot 3^r \cdot |W(G)| + 2 \cdot 2^{n+r}$
- Thus  $|W(G)| = \lfloor \frac{|B(G')|}{2 \cdot 3^r} \rfloor$

# #BEACHCONFIGS $\leq_{AP}$ #DOWNSETS

- Construct a partial order on the  $3n$  elements of  $V \times [3]$ .
- For each vertex  $v$ ,  $(v, 0) \prec (v, 1) \prec (v, 2)$ .
- For each edge  $(u, v)$ ,  $(u, 0) \prec (v, 1)$ ,  
 $(u, 1) \prec (v, 2)$ ,  $(v, 0) \prec (u, 1)$ ,  $(v, 1) \prec (u, 2)$
- Given a downset  $D$  color vertex  $v$  with the size of  $D \cap \{(v, 0), (v, 1), (v, 2)\}$

# #DOWNSETS $\leq_{AP}$ #BIS

- Let  $(X, \preceq)$  be an instance of #DOWNSETS. Define a bipartite graph  $B(U, V, E)$ .  
 $U = \bigcup_{i \in X} U_i$ ,  $V = \bigcup_{i \in X} V_i$ , where  
 $|U_i| = |V_i| = 2n$ .  
 $E = \{(u, v) : u \in U_i \wedge v \in V_j \wedge i \preceq j\}$
- full independent set  $I : \forall i, I \cap (U_i \cup V_i) \neq \emptyset$
- Projection to downsets  
 $D = \{i \in X : I \cap V_i \neq \emptyset\}$ .  $(2^{2n} - 1)^n$  ways.
- Non-full i.s.  $\leq 3^n (2^{2n} - 1)^{n-1}$

# Synopsis for #BIS

$\#BIS \leq_{AP} \#2\text{-}P\text{-}WR\text{-}C$

$\leq_{AP} \#BEACHCONFIGS$

$\leq_{AP} \#DOWNSETS \equiv_{AP} 1P1NSAT$

$\leq_{AP} \#BIS$

•  $\#P_q\text{-}COL \equiv_{AP} \#BIS$



# Intermediate problems

- #BIPARTITEMAXIS: The number of maximum independent sets in a bipartite graph
- #3-P-WR-C: 3 particle WR configurations
- #BIPARTITE Q-COL: Number of  $q$ -colorings of a bipartite graph

# $\#\text{BIS} \leq_{AP} \#\text{BIPARTITEMAXIS}$

Add to the graph for every vertex  $v$  a vertex  $v'$  and an edge  $(v, v')$ . Now every i.s. in the original graph leads to a maximum i.s. in the new graph.

# #BIPARTITEMAXIS $\leq_{AP}$ #3-P-WR-C

- If  $B = (X, Y, A)$  is an instance and  $M$  is the size of the maximum i.s. construct  $G = (V, E)$  with  $U_i, 0 \leq i \leq n - 1, V_i, 0 \leq i \leq n - 1$  disjoint sets of size  $s$  and  $k$  a set of size  $t$ ,  
$$V = K \cup \bigcup_{i \in [n]} U_i \cup \bigcup_{j \in [n]} V_j,$$
$$E = K^{(2)} \cup \bigcup_{j \in [n]} (V_j \times K) \cup \bigcup \{U_i \times V_j : (x_i, y_j \in A)\}$$
- $K$  is a clique. full coloring:  $K$  is bichromatic. In a full coloring select  $y_j$  iff  $V_j$  has the same second color as  $K$ , select  $x_i$  iff  $U_i$  has different colors from  $K$ .

# #BIPARTITEMAXIS $\leq_{AP}$ #3-P-WR-C

- $3(2^t - 2)(4^s - 2^s)^k (2^s)^{n-k} (2^s - 1)^l$  combinations for  $k$   $u_i$ 's and  $l$   $v_j$ 's.
- Non full colorings and colorings which point to non-maximum independent sets are once again much fewer, and therefore the reduction is complete.