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## Natural Proofs

## Yiannis Kokkinis

Structural Complexity  $(\mu\Pi\lambda\forall)$ 

May 17, 2012

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  - natural proofs: circuit lower bounds (Razborov, Rudich 1994)
  - algebrization: IP = PSPACE, PCP theorems (Aaronson, Wigderson 2008)



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#### Theorem

A language L is in P iff L has logspace-uniform polynomial circuits

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The theorem

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# What is a natural proof?

#### Definition (*n<sup>c</sup>*-usefulness)

Let  $f: \{0,1\}^n \to \{0,1\}, c \in \mathbb{N}$ . Any proof that f does not have  $n^c$ -sized circuits can be viewed as defining a predicate  $\mathcal{P}$  s.t.  $\mathcal{P}(f) = 1$  and  $\forall g \in SIZE(n^c) \mathcal{P}(g) = 0$ 

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#### Definition (Natural predicate)

We say that a predicate  $\mathcal{P}$  is natural if it satisfies the following two conditions ( $g : \{0, 1\}^n \rightarrow \{0, 1\}$ ):

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## what is a natural proof:

## Theorem (Existence of hard functions (Shannon, 1949))

The vast majority of all boolean functions with n inputs requires  $\Omega(2^n/n)$  gates

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- So the largeness condition does not contradict n<sup>c</sup>-usefulness.

#### Definition (Natural Proof)

A proof that a function does not have polynomial size circuits is called natural if it defines a natural predicate that is n<sup>c</sup>-useful.

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## Examples of predicates

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$$\mathcal{P}(g) = 1 \Leftrightarrow g \notin SIZE(n^{\log n})$$
  
Usefulness:  $n^c = \mathcal{O}(n^{\log n})$ 

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Largeness: existence of hard functions theorem 🗸

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AC<sup>0</sup>: constant depth, polynomial size, unlimited fan-in

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 $AC^{0}$ : constant depth, polynomial size, unlimited fan-in

Parity({x : x has an odd number of 1s})  $\notin AC^0$ . In this proof the following predicate is defined:  $\mathcal{P}(q) = 1 \Leftrightarrow q$  cannot be made constant by restricting  $n - n^{\epsilon}$ input bits

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Constructiveness: we can check in time  $2^{\mathcal{O}(n)}$  if  $\mathcal{P}(q) = 1$  from g's truth table ◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへの

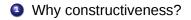
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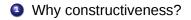
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#### Lemma

If a function f does not have circuits of size < S then at least half of the functions (with the same number of input variables as f) do not have circuits of size  $\leq$  S/2 -3

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#### Lemma

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#### Proof.

Let g be a random function then  $f = (f \oplus g) \oplus g$ . If both g and  $f \oplus g$  have circuits of size < S/2 - 3 then f has a circuit of size <S (we need only 5 gates to compute  $\oplus$ )

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#### Theorem (Natural Proofs, Razborov-Rudich 1994)

Suppose that subexponentially strong one-way functions exist. Then there exists a constant  $c \in \mathbb{N}$  such that there is no  $n^c$ -useful natural predicate  $\mathcal{P}$ 



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\*subexponentially strong one-way function = one that resists inverting even by a  $2^{n^{\epsilon}}$  -time adversary for some fixed  $\epsilon > 0$ .

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 We will show the contrapositive: suppose that for every c there exists a natural predicate, then one way functions do not exist

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#### Definition (Pseudorandom function family)

A family of functions  $\{f_s\}_{s \in \{0,1\}^*}$ , where for  $s \in \{0,1\}^m$ ,  $f_s$  is a function from  $\{0,1\}^m$  to  $\{0,1\}$ , s.t.:

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- 3 We can built  $f_s(x)$  in time polynomial in s and x
- **2** For  $s \in \{0, 1\}^m$  no polynomial time algorithm can distinguish  $f_s$  from a random function from  $\{0, 1\}^m$  to  $\{0, 1\}$



• Let  $\mathcal{P}$  be a natural property on n-bit functions that is  $n^c$  -useful. That means that we have a  $2^{\mathcal{O}(n)}$ -time algorithm which:



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- Let  $h: \{0,1\}^m \to \{0,1\}$  be an unknown function (it could be either  $f_s$  for some s or a random function)
- We will use the natural property  $\mathcal{P}$  to tell whether h is a (truly) random function

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  - ② h is  $f_s$  for some s. The map  $s, x \mapsto f_s(x)$  can be computed in poly(m) time and hence the map  $x \mapsto g(x)$  is computable by a circuit of size  $poly(m) = n^c$  (for some c) that has s hard-wired into it. (To be sure, the distinguisher does not know s or this circuit; we are only asserting that the circuit exists). Hence  $\mathcal{P}(g) = 0$ .

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Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
Proof of	the theorem			

- Let  $n = m^{\epsilon/2}, x \in \{0, 1\}^n$  and  $g(x) = h(x0^{m-n})$ .
- We construct g's truth table (it costs  $2^{\mathcal{O}(n)}$  time)
- We calculate  $\mathcal{P}(g)$ . There are two cases:
  - h is a random function, so g is also a random function from  $\{0,1\}^n$  to  $\{0,1\}$ . That is  $Pr[\mathcal{P}(g) = 1] \ge 1/n$ .
  - ② h is  $f_s$  for some s. The map  $s, x \mapsto f_s(x)$  can be computed in poly(m) time and hence the map  $x \mapsto g(x)$  is computable by a circuit of size  $poly(m) = n^c$  (for some c) that has s hard-wired into it. (To be sure, the distinguisher does not know s or this circuit; we are only asserting that the circuit exists). Hence  $\mathcal{P}(g) = 0$ .
- That means that we can distinguish between f<sub>s</sub> and a random function with nonnegligible probability in polynomial time.

Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
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# 5 Epilogue

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# Trying to find a circuit lower bound for 3SAT...

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For example  $\mu$  (f) = 1 + the smallest formula size for f

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#### Theorem

Suppose  $\mu$  is a formal complexity measure and  $\mu(f) \ge S$  for some f and some large number S. Then  $\Pr[\mu(g) \ge S/4] \ge 1/4$ .

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#### Theorem

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#### Proof.

For random g let  $h = f \oplus g$  so  $f = h \oplus g = (h \land \overline{g}) \lor (g \land \overline{h})$ . If  $Pr[\mu(g) < S/4] > 3/4$  then  $\mu(h), \mu(g), \mu(\overline{g}), \mu(\overline{h}) < S/4$ , so  $\mu(f) < S$ , but that is absurd.

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So if we prove this way that  $\mu(3SaT)$  is super-polynomial we define a natural predicate  $\mathcal{P}(f) = 1 \Leftrightarrow \mu(f) > n^c$ 

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That means that if one way functions exist we cannot prove P  $\neq$  *NP* that way

Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
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- Can we prove circuit lower bounds using unnatural proofs?
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- Diagonalization is an inherently unnatural technique because it focuses on a specific function, so it violates the largeness condition
- Alternatively, one can also view a diagonalization proof as showing that a function has the property that it disagrees with every small circuit on some input - a property that satisfies largeness but not constructiveness.
- But diagonalization is a relativizing proof technique...

Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
Promise p	roblems			

# • A promise problem is a partially defined function $f: \{0,1\}^* \rightarrow \{0,1,\bot\}$

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Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
Promise pr	chlomo			

- A promise problem is a partially defined function
  - $f:\{0,1\}^* \rightarrow \{0,1,\bot\}$
  - An algorithm A solves a promise problem f iff
     ∀x(f(x) ∈ {0,1} ⇒ A(x) = f(x)) (⊥ represents undefined so when f(x) = ⊥ there is no guarantee for A's output)

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- We can define *promiseC* for every complexity class C

#### Definition (promiseMA)

Let f be a promise problem.  $f \in promiseMA$  if for every  $x \in \{0, 1\}^*$   $\exists$  polynomials p,q and a polynomial time algorithm A s.t.:  $f(\mathbf{x}) = 1 \Rightarrow \exists \mathbf{y} \in \{0, 1\}^{q(|\mathbf{x}|)}, \exists \mathbf{z} \in \{0, 1\}^{p(|\mathbf{x}|)} \Pr[A(\mathbf{x}, \mathbf{y}, \mathbf{z}) = 1] \ge 2/3$  $f(\mathbf{x}) = 0 \Rightarrow \exists \mathbf{y} \in \{0, 1\}^{q(|\mathbf{x}|)}, \exists \mathbf{z} \in \{0, 1\}^{p(|\mathbf{x}|)} \Pr[A(\mathbf{x}, \mathbf{y}, \mathbf{z}) = 1] \leq 1/3$ 

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Unnatur	al proofs			

## $PSPACE \subseteq SIZE(n^c)$

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Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
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Theorem (R. Santhanam, 2007)

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Unnatural	proofs			

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the proof of the first theorem uses diagonalization and the proof of the second theorem uses the first result

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Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
Moral				

 We showed that we can use a natural property (one that holds for a nonnegligible fraction of boolean functions and can easily be checked) to distinguish a pseudorandom function from a truly random function

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	at is a natural proof? The theorem The weakness <b>Epilogu</b>	ogue
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- So, we cannot use natural proofs to prove circuit lower bounds in complexity classes where pseudorandom generators exist, like NC<sup>1</sup>(parallel log-time and polynomial number of processors) or TC<sup>0</sup> (constant depth, polynomial size, unbounded-fanin)

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- It is interesting that we used computational complexity to shed light on a metamathematical question about computational complexity
- But remember that we used a condition (existence of one-way functions) that is stronger than P ≠ NP...

Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
Bios				

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Introduction	What is a natural proof?	The theorem	The weakness	Epilogue
Bios				

Alexander Razborov (1963-) Nevanlinna Prize (Approximation method, 1990), Gödel Prize (Natural Proofs, 2007)



University of Chicago

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## Bios

Alexander Razborov (1963-) Nevanlinna Prize (Approximation method, 1990), Gödel Prize (Natural Proofs, 2007)



University of Chicago

Steven Rudich (1961-) Gödel Prize (Natural Proofs, 2007)



Carnegie Mellon University

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# THANK YOU!