

Natural Proofs

Yiannis Kokkinis

Structural Complexity ($\mu\Pi\lambda\forall$)

May 17, 2012

Overview

1 Introduction

Overview

- 1 Introduction
- 2 What is a natural proof?

Overview

- 1 Introduction
- 2 What is a natural proof?
- 3 The theorem

Overview

- 1 Introduction
- 2 What is a natural proof?
- 3 The theorem
- 4 The weakness

Overview

- 1 Introduction
- 2 What is a natural proof?
- 3 The theorem
- 4 The weakness
- 5 Epilogue

Overview

- 1 Introduction
- 2 What is a natural proof?
- 3 The theorem
- 4 The weakness
- 5 Epilogue

Why bother?

- Why are proofs for lower bounds so difficult? (e.g. $P \neq PSPACE$, $P \neq NP$)

Why bother?

- Why are proofs for lower bounds so difficult? (e.g. $P \neq PSPACE$, $P \neq NP$)
- Answer 1: because great mathematicians cannot prove them...

Why bother?

- Why are proofs for lower bounds so difficult? (e.g. $P \neq PSPACE$, $P \neq NP$)
- Answer 1: because great mathematicians cannot prove them...
- Answer 2: because known techniques are not good enough:

Why bother?

- Why are proofs for lower bounds so difficult? (e.g. $P \neq PSPACE$, $P \neq NP$)
- Answer 1: because great mathematicians cannot prove them...
- Answer 2: because known techniques are not good enough:
 - relativization: oracles, diagonalization (Baker, Gill, Solovay 1975)

Why bother?

- Why are proofs for lower bounds so difficult? (e.g. $P \neq PSPACE$, $P \neq NP$)
- Answer 1: because great mathematicians cannot prove them...
- Answer 2: because known techniques are not good enough:
 - relativization: oracles, diagonalization (Baker, Gill, Solovay 1975)
 - **natural proofs**: circuit lower bounds (Razborov, Rudich 1994)

Why bother?

- Why are proofs for lower bounds so difficult? (e.g. $P \neq PSPACE$, $P \neq NP$)
- Answer 1: because great mathematicians cannot prove them...
- Answer 2: because known techniques are not good enough:
 - relativization: oracles, diagonalization (Baker, Gill, Solovay 1975)
 - **natural proofs**: circuit lower bounds (Razborov, Rudich 1994)
 - algebrization: $IP = PSPACE$, PCP theorems (Aaronson, Wigderson 2008)

Circuit Complexity

- A boolean circuit is a directed acyclic graph. It's nodes are AND, OR and NOT gates

Circuit Complexity

- A boolean circuit is a directed acyclic graph. It's nodes are AND, OR and NOT gates
- The size of the circuit is the number of gates in it

Circuit Complexity

- A boolean circuit is a directed acyclic graph. It's nodes are AND, OR and NOT gates
- The size of the circuit is the number of gates in it
- Different circuit for every different input size

Circuit Complexity

- A boolean circuit is a directed acyclic graph. It's nodes are AND, OR and NOT gates
- The size of the circuit is the number of gates in it
- Different circuit for every different input size
- Let Γ be a complexity class. A family of circuits C_0, C_1, \dots is said to be Γ -uniform if there is a Γ -bounded TM that on input 1^n outputs C_n

Circuit Complexity

- A boolean circuit is a directed acyclic graph. It's nodes are AND, OR and NOT gates
- The size of the circuit is the number of gates in it
- Different circuit for every different input size
- Let Γ be a complexity class. A family of circuits C_0, C_1, \dots is said to be Γ -uniform if there is a Γ -bounded TM that on input 1^n outputs C_n

Theorem

A language L is in P iff L has logspace-uniform polynomial circuits

Overview

- 1 Introduction
- 2 What is a natural proof?**
- 3 The theorem
- 4 The weakness
- 5 Epilogue

What is a natural proof?

Definition (n^c -usefulness)

Let $f: \{0, 1\}^n \rightarrow \{0, 1\}$, $c \in \mathbb{N}$. Any proof that f does not have n^c -sized circuits can be viewed as defining a predicate \mathcal{P} s.t. $\mathcal{P}(f) = 1$ and $\forall g \in \text{SIZE}(n^c) \mathcal{P}(g) = 0$

What is a natural proof?

Definition (n^c -usefulness)

Let $f: \{0, 1\}^n \rightarrow \{0, 1\}$, $c \in \mathbb{N}$. Any proof that f does not have n^c -sized circuits can be viewed as defining a predicate \mathcal{P} s.t. $\mathcal{P}(f) = 1$ and $\forall g \in \text{SIZE}(n^c) \mathcal{P}(g) = 0$

Definition (Natural predicate)

We say that a predicate \mathcal{P} is natural if it satisfies the following two conditions ($g: \{0, 1\}^n \rightarrow \{0, 1\}$):

What is a natural proof?

Definition (n^c -usefulness)

Let $f: \{0, 1\}^n \rightarrow \{0, 1\}$, $c \in \mathbb{N}$. Any proof that f does not have n^c -sized circuits can be viewed as defining a predicate \mathcal{P} s.t. $\mathcal{P}(f) = 1$ and $\forall g \in \text{SIZE}(n^c) \mathcal{P}(g) = 0$

Definition (Natural predicate)

We say that a predicate \mathcal{P} is natural if it satisfies the following two conditions ($g: \{0, 1\}^n \rightarrow \{0, 1\}$):

Constructiveness: We can compute $\mathcal{P}(g)$ in time polynomial to the size of the truth table of g (that is in **time** $2^{\mathcal{O}(n)}$)

What is a natural proof?

Definition (n^c -usefulness)

Let $f: \{0, 1\}^n \rightarrow \{0, 1\}$, $c \in \mathbb{N}$. Any proof that f does not have n^c -sized circuits can be viewed as defining a predicate \mathcal{P} s.t. $\mathcal{P}(f) = 1$ and $\forall g \in \text{SIZE}(n^c) \mathcal{P}(g) = 0$

Definition (Natural predicate)

We say that a predicate \mathcal{P} is natural if it satisfies the following two conditions ($g: \{0, 1\}^n \rightarrow \{0, 1\}$):

Constructiveness: We can compute $\mathcal{P}(g)$ in time polynomial to the size of the truth table of g (that is in **time $2^{\mathcal{O}(n)}$**)

Largeness: $\Pr[\mathcal{P}(g) = 1] \geq 1/n$

What is a natural proof?

Theorem (Existence of hard functions (Shannon, 1949))

The vast majority of all boolean functions with n inputs requires $\Omega(2^n/n)$ gates

What is a natural proof?

Theorem (Existence of hard functions (Shannon, 1949))

The vast majority of all boolean functions with n inputs requires $\Omega(2^n/n)$ gates

- The above theorem implies that only a small fraction of boolean functions have polynomial size circuits. (but its proof is not constructive, so we cannot use it to prove that there is a language in $NP \setminus SIZE(n^c)$)

What is a natural proof?

Theorem (Existence of hard functions (Shannon, 1949))

The vast majority of all boolean functions with n inputs requires $\Omega(2^n/n)$ gates

- The above theorem implies that only a small fraction of boolean functions have polynomial size circuits. (but its proof is not constructive, so we cannot use it to prove that there is a language in $NP \setminus SIZE(n^c)$)
- So the largeness condition does not contradict n^c -usefulness.

What is a natural proof?

Theorem (Existence of hard functions (Shannon, 1949))

The vast majority of all boolean functions with n inputs requires $\Omega(2^n/n)$ gates

- The above theorem implies that only a small fraction of boolean functions have polynomial size circuits. (but its proof is not constructive, so we cannot use it to prove that there is a language in $NP \setminus SIZE(n^c)$)
- So the largeness condition does not contradict n^c -usefulness.

Definition (Natural Proof)

A proof that a function does not have polynomial size circuits is called natural if it defines a natural predicate that is n^c -useful.

Examples of predicates

$$1 \quad \mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$$

Examples of predicates

1 $\mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$

Usefulness: $n^c = \mathcal{O}(n^{\log n})$ ✓

Examples of predicates

① $\mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$

Usefulness: $n^c = \mathcal{O}(n^{\log n})$ ✓

Largeness: existence of hard functions theorem ✓

Examples of predicates

1 $\mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$

Usefulness: $n^c = \mathcal{O}(n^{\log n})$ ✓

Largeness: existence of hard functions theorem ✓

Constructiveness: it's an open problem (we can check whether $\mathcal{P}(g) = 1$ in time $\mathcal{O}(2^{n^{\log n}})$ by enumerating all circuits of size $n^{\log n}$) ?

Examples of predicates

1 $\mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$

Usefulness: $n^c = \mathcal{O}(n^{\log n})$ ✓

Largeness: existence of hard functions theorem ✓

Constructiveness: it's an open problem (we can check whether $\mathcal{P}(g) = 1$ in time $\mathcal{O}(2^{n^{\log n}})$ by enumerating all circuits of size $n^{\log n}$) ?

2 $\mathcal{P}(g) = 1 \Leftrightarrow g$ correctly solves the decision problem 3SAT for inputs of size n

Examples of predicates

1 $\mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$

Usefulness: $n^c = \mathcal{O}(n^{\log n})$ ✓

Largeness: existence of hard functions theorem ✓

Constructiveness: it's an open problem (we can check whether $\mathcal{P}(g) = 1$ in time $\mathcal{O}(2^{n^{\log n}})$ by enumerating all circuits of size $n^{\log n}$) ?

2 $\mathcal{P}(g) = 1 \Leftrightarrow g$ correctly solves the decision problem 3SAT for inputs of size n

Usefulness: if $3\text{SAT} \notin \text{SIZE}(n^c)$... ?

Examples of predicates

1 $\mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$

Usefulness: $n^c = \mathcal{O}(n^{\log n})$ ✓

Largeness: existence of hard functions theorem ✓

Constructiveness: it's an open problem (we can check whether $\mathcal{P}(g) = 1$ in time $\mathcal{O}(2^{n^{\log n}})$ by enumerating all circuits of size $n^{\log n}$) ?

2 $\mathcal{P}(g) = 1 \Leftrightarrow g$ correctly solves the decision problem 3SAT for inputs of size n

Usefulness: if $3\text{SAT} \notin \text{SIZE}(n^c)$... ?

Largeness: it is true only for one function ✗

Examples of predicates

1 $\mathcal{P}(g) = 1 \Leftrightarrow g \notin \text{SIZE}(n^{\log n})$

Usefulness: $n^c = \mathcal{O}(n^{\log n})$ ✓

Largeness: existence of hard functions theorem ✓

Constructiveness: it's an open problem (we can check whether $\mathcal{P}(g) = 1$ in time $\mathcal{O}(2^{n^{\log n}})$ by enumerating all circuits of size $n^{\log n}$) ?

2 $\mathcal{P}(g) = 1 \Leftrightarrow g$ correctly solves the decision problem 3SAT for inputs of size n

Usefulness: if $3\text{SAT} \notin \text{SIZE}(n^c)$... ?

Largeness: it is true only for one function ✗

Constructiveness: we can check whether $\mathcal{P}(g) = 1$ by checking g 's truth table in time $2^{\mathcal{O}(n)}$ ✓

A natural proof

AC^0 : constant depth, polynomial size, unlimited fan-in

A natural proof

AC^0 : constant depth, polynomial size, unlimited fan-in

$\text{Parity}(\{x : x \text{ has an odd number of 1s}\}) \notin AC^0$. In this proof the following predicate is defined:

$\mathcal{P}(g) = 1 \Leftrightarrow g$ cannot be made constant by restricting $n - n^\epsilon$ input bits

A natural proof

AC^0 : constant depth, polynomial size, unlimited fan-in

$\text{Parity}(\{x : x \text{ has an odd number of 1s}\}) \notin AC^0$. In this proof the following predicate is defined:

$\mathcal{P}(g) = 1 \Leftrightarrow g$ cannot be made constant by restricting $n - n^\epsilon$ input bits

Usefulness: $\mathcal{P}(g) = 0$ for every AC^0 circuit and $\mathcal{P}(g) = 1$ for the parity function ✓

A natural proof

AC^0 : constant depth, polynomial size, unlimited fan-in

$\text{Parity}(\{x : x \text{ has an odd number of 1s}\}) \notin AC^0$. In this proof the following predicate is defined:

$\mathcal{P}(g) = 1 \Leftrightarrow g$ cannot be made constant by restricting $n - n^\epsilon$ input bits

Usefulness: $\mathcal{P}(g) = 0$ for every AC^0 circuit and $\mathcal{P}(g) = 1$ for the parity function ✓

Largeness: if g is a random function then $\mathcal{P}(g) = 1$ with high probability ✓

A natural proof

AC^0 : constant depth, polynomial size, unlimited fan-in

$\text{Parity}(\{x : x \text{ has an odd number of 1s}\}) \notin AC^0$. In this proof the following predicate is defined:

$\mathcal{P}(g) = 1 \Leftrightarrow g$ cannot be made constant by restricting $n - n^\epsilon$ input bits

Usefulness: $\mathcal{P}(g) = 0$ for every AC^0 circuit and $\mathcal{P}(g) = 1$ for the parity function ✓

Largeness: if g is a random function then $\mathcal{P}(g) = 1$ with high probability ✓

Constructiveness: we can check in time $2^{O(n)}$ if $\mathcal{P}(g) = 1$ from g 's truth table ✓

Overview

- 1 Introduction
- 2 What is a natural proof?
- 3 The theorem**
- 4 The weakness
- 5 Epilogue

Why did we define natural predicates that way?

- 1 Why constructiveness?

Why did we define natural predicates that way?

- 1 Why constructiveness?

Why did we define natural predicates that way?

- 1 Why constructiveness?
We are interested in checking our conditions efficiently...

Why did we define natural predicates that way?

- 1 Why constructiveness?
We are interested in checking our conditions efficiently...
- 2 Why largeness?

Why did we define natural predicates that way?

- 1 Why constructiveness?
We are interested in checking our conditions efficiently...
- 2 Why largeness?

Why did we define natural predicates that way?

- 1 Why constructiveness?
We are interested in checking our conditions efficiently...
- 2 Why largeness?

Lemma

If a function f does not have circuits of size $< S$ then at least half of the functions (with the same number of input variables as f) do not have circuits of size $\leq S/2 - 3$

Why did we define natural predicates that way?

- 1 Why constructiveness?
We are interested in checking our conditions efficiently...
- 2 Why largeness?

Lemma

If a function f does not have circuits of size $< S$ then at least half of the functions (with the same number of input variables as f) do not have circuits of size $\leq S/2 - 3$

Proof.

Let g be a random function then $f = (f \oplus g) \oplus g$. If both g and $f \oplus g$ have circuits of size $< S/2 - 3$ then f has a circuit of size $< S$ (we need only 5 gates to compute \oplus) □

The Natural Proofs Theorem

- So, we can easily check whether a function satisfies a natural predicate or not

The Natural Proofs Theorem

- So, we can easily check whether a function satisfies a natural predicate or not
- That means that we can easily tell whether a function is "random" or not

The Natural Proofs Theorem

- So, we can easily check whether a function satisfies a natural predicate or not
- That means that we can easily tell whether a function is "random" or not
- That is a key fact to prove the

The Natural Proofs Theorem

- So, we can easily check whether a function satisfies a natural predicate or not
- That means that we can easily tell whether a function is "random" or not
- That is a key fact to prove the

Theorem (Natural Proofs, Razborov-Rudich 1994)

Suppose that subexponentially strong one-way functions exist. Then there exists a constant $c \in \mathbb{N}$ such that there is no n^c -useful natural predicate \mathcal{P}

The Natural Proofs Theorem

- So, we can easily check whether a function satisfies a natural predicate or not
- That means that we can easily tell whether a function is "random" or not
- That is a key fact to prove the

Theorem (Natural Proofs, Razborov-Rudich 1994)

Suppose that subexponentially strong one-way functions exist. Then there exists a constant $c \in \mathbb{N}$ such that there is no n^c -useful natural predicate \mathcal{P}

The Natural Proofs Theorem

- So, we can easily check whether a function satisfies a natural predicate or not
- That means that we can easily tell whether a function is "random" or not
- That is a key fact to prove the

Theorem (Natural Proofs, Razborov-Rudich 1994)

Suppose that subexponentially strong one-way functions exist. Then there exists a constant $c \in \mathbb{N}$ such that there is no n^c -useful natural predicate \mathcal{P}

*subexponentially strong one-way function = one that resists inverting even by a 2^{n^ϵ} -time adversary for some fixed $\epsilon > 0$.

Pseudorandom functions

- We will show the contrapositive: suppose that for every c there exists a natural predicate, then one way functions do not exist

Pseudorandom functions

- We will show the contrapositive: suppose that for every c there exists a natural predicate, then one way functions do not exist
- J. Håstad, R. Impagliazzo, L. A. Levin, and M. Luby in 1999 showed that we can build a pseudorandom function family from every one way function.

Pseudorandom functions

- We will show the contrapositive: suppose that for every c there exists a natural predicate, then one way functions do not exist
- J. Håstad, R. Impagliazzo, L. A. Levin, and M. Luby in 1999 showed that we can build a pseudorandom function family from every one way function.

Definition (Pseudorandom function family)

A family of functions $\{f_s\}_{s \in \{0,1\}^*}$, where for $s \in \{0,1\}^m$, f_s is a function from $\{0,1\}^m$ to $\{0,1\}$, s.t.:

Pseudorandom functions

- We will show the contrapositive: suppose that for every c there exists a natural predicate, then one way functions do not exist
- J. Håstad, R. Impagliazzo, L. A. Levin, and M. Luby in 1999 showed that we can build a pseudorandom function family from every one way function.

Definition (Pseudorandom function family)

A family of functions $\{f_s\}_{s \in \{0,1\}^*}$, where for $s \in \{0,1\}^m$, f_s is a function from $\{0,1\}^m$ to $\{0,1\}$, s.t.:

- 1 We can build $f_s(x)$ in time polynomial in s and x

Pseudorandom functions

- We will show the contrapositive: suppose that for every c there exists a natural predicate, then one way functions do not exist
- J. Håstad, R. Impagliazzo, L. A. Levin, and M. Luby in 1999 showed that we can build a pseudorandom function family from every one way function.

Definition (Pseudorandom function family)

A family of functions $\{f_s\}_{s \in \{0,1\}^*}$, where for $s \in \{0,1\}^m$, f_s is a function from $\{0,1\}^m$ to $\{0,1\}$, s.t.:

- 1 We can build $f_s(x)$ in time polynomial in s and x
- 2 For $s \in \{0,1\}^m$ no polynomial time algorithm can distinguish f_s from a random function from $\{0,1\}^m$ to $\{0,1\}$

Proof of the theorem

- Let \mathcal{P} be a natural property on n -bit functions that is n^c -useful. That means that we have a $2^{\mathcal{O}(n)}$ -time algorithm which:

Proof of the theorem

- Let \mathcal{P} be a natural property on n -bit functions that is n^c -useful. That means that we have a $2^{\mathcal{O}(n)}$ -time algorithm which:
 - 1 outputs 0 on functions with circuit complexity lower than n^c

Proof of the theorem

- Let \mathcal{P} be a natural property on n -bit functions that is n^c -useful. That means that we have a $2^{\mathcal{O}(n)}$ -time algorithm which:
 - 1 outputs 0 on functions with circuit complexity lower than n^c
 - 2 outputs 1 on a nonnegligible fraction of functions

Proof of the theorem

- Let \mathcal{P} be a natural property on n -bit functions that is n^c -useful. That means that we have a $2^{\mathcal{O}(n)}$ -time algorithm which:
 - 1 outputs 0 on functions with circuit complexity lower than n^c
 - 2 outputs 1 on a nonnegligible fraction of functions
- Let $\{f_s\}$ be a 2^{m^ϵ} -secure pseudorandom function family

Proof of the theorem

- Let \mathcal{P} be a natural property on n -bit functions that is n^c -useful. That means that we have a $2^{\mathcal{O}(n)}$ -time algorithm which:
 - 1 outputs 0 on functions with circuit complexity lower than n^c
 - 2 outputs 1 on a nonnegligible fraction of functions
- Let $\{f_s\}$ be a 2^{m^ϵ} -secure pseudorandom function family
- Let $h : \{0, 1\}^m \rightarrow \{0, 1\}$ be an unknown function (it could be either f_s for some s or a random function)

Proof of the theorem

- Let \mathcal{P} be a natural property on n -bit functions that is n^c -useful. That means that we have a $2^{\mathcal{O}(n)}$ -time algorithm which:
 - 1 outputs 0 on functions with circuit complexity lower than n^c
 - 2 outputs 1 on a nonnegligible fraction of functions
- Let $\{f_s\}$ be a 2^{m^ϵ} -secure pseudorandom function family
- Let $h : \{0, 1\}^m \rightarrow \{0, 1\}$ be an unknown function (it could be either f_s for some s or a random function)
- We will use the natural property \mathcal{P} to tell whether h is a (truly) random function

Proof of the theorem

- Let $n = m^{\epsilon/2}$, $x \in \{0, 1\}^n$ and $g(x) = h(x0^{m-n})$.

Proof of the theorem

- Let $n = m^{\epsilon/2}$, $x \in \{0, 1\}^n$ and $g(x) = h(x0^{m-n})$.
- We construct g 's truth table (it costs $2^{\mathcal{O}(n)}$ time)

Proof of the theorem

- Let $n = m^{\epsilon/2}$, $x \in \{0, 1\}^n$ and $g(x) = h(x0^{m-n})$.
- We construct g 's truth table (it costs $2^{\mathcal{O}(n)}$ time)
- We calculate $\mathcal{P}(g)$. There are two cases:

Proof of the theorem

- Let $n = m^{\epsilon/2}$, $x \in \{0, 1\}^n$ and $g(x) = h(x0^{m-n})$.
- We construct g 's truth table (it costs $2^{\mathcal{O}(n)}$ time)
- We calculate $\mathcal{P}(g)$. There are two cases:
 - 1 h is a random function, so g is also a random function from $\{0, 1\}^n$ to $\{0, 1\}$. That is $\Pr[\mathcal{P}(g) = 1] \geq 1/n$.

Proof of the theorem

- Let $n = m^{\epsilon/2}$, $x \in \{0, 1\}^n$ and $g(x) = h(x0^{m-n})$.
- We construct g 's truth table (it costs $2^{\mathcal{O}(n)}$ time)
- We calculate $\mathcal{P}(g)$. There are two cases:
 - 1 h is a random function, so g is also a random function from $\{0, 1\}^n$ to $\{0, 1\}$. That is $\Pr[\mathcal{P}(g) = 1] \geq 1/n$.
 - 2 h is f_s for some s . The map $s, x \mapsto f_s(x)$ can be computed in $\text{poly}(m)$ time and hence the map $x \mapsto g(x)$ is computable by a circuit of size $\text{poly}(m) = n^c$ (for some c) that has s hard-wired into it. (To be sure, the distinguisher does not know s or this circuit; we are only asserting that the circuit exists). Hence $\mathcal{P}(g) = 0$.

Proof of the theorem

- Let $n = m^{\epsilon/2}$, $x \in \{0, 1\}^n$ and $g(x) = h(x0^{m-n})$.
- We construct g 's truth table (it costs $2^{\mathcal{O}(n)}$ time)
- We calculate $\mathcal{P}(g)$. There are two cases:
 - 1 h is a random function, so g is also a random function from $\{0, 1\}^n$ to $\{0, 1\}$. That is $\Pr[\mathcal{P}(g) = 1] \geq 1/n$.
 - 2 h is f_s for some s . The map $s, x \mapsto f_s(x)$ can be computed in $\text{poly}(m)$ time and hence the map $x \mapsto g(x)$ is computable by a circuit of size $\text{poly}(m) = n^c$ (for some c) that has s hard-wired into it. (To be sure, the distinguisher does not know s or this circuit; we are only asserting that the circuit exists). Hence $\mathcal{P}(g) = 0$.
- That means that we can distinguish between f_s and a random function with nonnegligible probability in polynomial time.

Overview

- 1 Introduction
- 2 What is a natural proof?
- 3 The theorem
- 4 The weakness**
- 5 Epilogue

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too
- So it is tempting to try to prove circuit lower bounds by induction on a measure defined on the circuit size

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too
- So it is tempting to try to prove circuit lower bounds by induction on a measure defined on the circuit size

Definition (Formal complexity measure)

A function $\mu : \{\{0, 1\}^n \rightarrow \{0, 1\}\} \rightarrow \mathbb{N}^+$ s.t.:

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too
- So it is tempting to try to prove circuit lower bounds by induction on a measure defined on the circuit size

Definition (Formal complexity measure)

A function $\mu : \{\{0, 1\}^n \rightarrow \{0, 1\}\} \rightarrow \mathbb{N}^+$ s.t.:

① $\mu(x) \leq 1, \mu(\bar{x}) \leq 1$

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too
- So it is tempting to try to prove circuit lower bounds by induction on a measure defined on the circuit size

Definition (Formal complexity measure)

A function $\mu : \{\{0, 1\}^n \rightarrow \{0, 1\}\} \rightarrow \mathbb{N}^+$ s.t.:

- 1 $\mu(\mathbf{x}) \leq 1, \mu(\bar{\mathbf{x}}) \leq 1$
- 2 $\mu(\mathbf{f} \wedge \mathbf{g}) \leq \mu(\mathbf{f}) + \mu(\mathbf{g})$

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too
- So it is tempting to try to prove circuit lower bounds by induction on a measure defined on the circuit size

Definition (Formal complexity measure)

A function $\mu : \{\{0, 1\}^n \rightarrow \{0, 1\}\} \rightarrow \mathbb{N}^+$ s.t.:

- 1 $\mu(x) \leq 1, \mu(\bar{x}) \leq 1$
- 2 $\mu(f \wedge g) \leq \mu(f) + \mu(g)$
- 3 $\mu(f \vee g) \leq \mu(f) + \mu(g)$

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too
- So it is tempting to try to prove circuit lower bounds by induction on a measure defined on the circuit size

Definition (Formal complexity measure)

A function $\mu : \{\{0, 1\}^n \rightarrow \{0, 1\}\} \rightarrow \mathbb{N}^+$ s.t.:

- 1 $\mu(x) \leq 1, \mu(\bar{x}) \leq 1$
- 2 $\mu(f \wedge g) \leq \mu(f) + \mu(g)$
- 3 $\mu(f \vee g) \leq \mu(f) + \mu(g)$

Trying to find a circuit lower bound for 3SAT...

- If a circuit is complicated, some part of it should be complicated too
- So it is tempting to try to prove circuit lower bounds by induction on a measure defined on the circuit size

Definition (Formal complexity measure)

A function $\mu : \{\{0, 1\}^n \rightarrow \{0, 1\}\} \rightarrow \mathbb{N}^+$ s.t.:

- 1 $\mu(x) \leq 1, \mu(\bar{x}) \leq 1$
- 2 $\mu(f \wedge g) \leq \mu(f) + \mu(g)$
- 3 $\mu(f \vee g) \leq \mu(f) + \mu(g)$

For example $\mu(f) = 1 +$ the smallest formula size for f

Trying to find a circuit lower bound for 3SAT...

- If μ is any formal complexity measure, then $\mu(f)$ is a lower bound on the formula complexity of f (proof by induction)

Trying to find a circuit lower bound for 3SAT...

- If μ is any formal complexity measure, then $\mu(f)$ is a lower bound on the formula complexity of f (proof by induction)
- So it suffices to show that $\mu(3\text{SaT})$ is super-polynomial

Trying to find a circuit lower bound for 3SAT...

- If μ is any formal complexity measure, then $\mu(f)$ is a lower bound on the formula complexity of f (proof by induction)
- So it suffices to show that $\mu(3\text{SaT})$ is super-polynomial
- But this property cannot hold only for one function...

Trying to find a circuit lower bound for 3SAT...

- If μ is any formal complexity measure, then $\mu(f)$ is a lower bound on the formula complexity of f (proof by induction)
- So it suffices to show that $\mu(3\text{SaT})$ is super-polynomial
- But this property cannot hold only for one function...

Theorem

Suppose μ is a formal complexity measure and $\mu(f) \geq S$ for some f and some large number S . Then $\Pr[\mu(g) \geq S/4] \geq 1/4$.

Trying to find a circuit lower bound for 3SAT...

- If μ is any formal complexity measure, then $\mu(f)$ is a lower bound on the formula complexity of f (proof by induction)
- So it suffices to show that $\mu(3\text{SaT})$ is super-polynomial
- But this property cannot hold only for one function...

Theorem

Suppose μ is a formal complexity measure and $\mu(f) \geq S$ for some f and some large number S . Then $\Pr[\mu(g) \geq S/4] \geq 1/4$.

Proof.

For random g let $h = f \oplus g$ so $f = h \oplus g = (h \wedge \bar{g}) \vee (g \wedge \bar{h})$. If $\Pr[\mu(g) < S/4] > 3/4$ then $\mu(h), \mu(g), \mu(\bar{g}), \mu(\bar{h}) < S/4$, so $\mu(f) < S$, but that is absurd. □

Trying to find a circuit lower bound for 3SAT...

So if we prove this way that $\mu(3\text{SaT})$ is super-polynomial we define a natural predicate $\mathcal{P}(f) = 1 \Leftrightarrow \mu(f) > n^c$

Trying to find a circuit lower bound for 3SAT...

So if we prove this way that $\mu(3\text{SaT})$ is super-polynomial we define a natural predicate $\mathcal{P}(f) = 1 \Leftrightarrow \mu(f) > n^c$

Usefulness: $\mathcal{P}(3\text{SAT}) = 1$, if $g \in \text{SIZE}(n^c)$ then $\mathcal{P}(g) = 0$ ✓

Trying to find a circuit lower bound for 3SAT...

So if we prove this way that $\mu(3\text{SAT})$ is super-polynomial we define a natural predicate $\mathcal{P}(f) = 1 \Leftrightarrow \mu(f) > n^c$

Usefulness: $\mathcal{P}(3\text{SAT}) = 1$, if $g \in \text{SIZE}(n^c)$ then $\mathcal{P}(g) = 0$ ✓

Largeness: we just proved it ✓

Trying to find a circuit lower bound for 3SAT...

So if we prove this way that $\mu(3SAT)$ is super-polynomial we define a natural predicate $\mathcal{P}(f) = 1 \Leftrightarrow \mu(f) > n^c$

Usefulness: $\mathcal{P}(3SAT) = 1$, if $g \in SIZE(n^c)$ then $\mathcal{P}(g) = 0$ ✓

Largeness: we just proved it ✓

Constructiveness: easy from the truth table ✓

Trying to find a circuit lower bound for 3SAT...

So if we prove this way that $\mu(3SAT)$ is super-polynomial we define a natural predicate $\mathcal{P}(f) = 1 \Leftrightarrow \mu(f) > n^c$

Usefulness: $\mathcal{P}(3SAT) = 1$, if $g \in SIZE(n^c)$ then $\mathcal{P}(g) = 0$ ✓

Largeness: we just proved it ✓

Constructiveness: easy from the truth table ✓

That means that if one way functions exist we cannot prove $P \neq NP$ that way

Overview

- 1 Introduction
- 2 What is a natural proof?
- 3 The theorem
- 4 The weakness
- 5 Epilogue**

Unnatural proofs

- Can we prove circuit lower bounds using unnatural proofs?

Unnatural proofs

- Can we prove circuit lower bounds using unnatural proofs?
- We can use old simple **diagonalization!**

Unnatural proofs

- Can we prove circuit lower bounds using unnatural proofs?
- We can use old simple **diagonalization!**
- Diagonalization is an inherently unnatural technique because it focuses on a specific function, so it violates the **largeness** condition

Unnatural proofs

- Can we prove circuit lower bounds using unnatural proofs?
- We can use old simple **diagonalization**!
- Diagonalization is an inherently unnatural technique because it focuses on a specific function, so it violates the **largeness** condition
- Alternatively, one can also view a diagonalization proof as showing that a function has the property that it disagrees with every small circuit on some input - a property that satisfies largeness but not **constructiveness**.

Unnatural proofs

- Can we prove circuit lower bounds using unnatural proofs?
- We can use old simple **diagonalization!**
- Diagonalization is an inherently unnatural technique because it focuses on a specific function, so it violates the **largeness** condition
- Alternatively, one can also view a diagonalization proof as showing that a function has the property that it disagrees with every small circuit on some input - a property that satisfies largeness but not **constructiveness**.
- But diagonalization is a relativizing proof technique...

Promise problems

- A **promise problem** is a partially defined function
 $f: \{0, 1\}^* \rightarrow \{0, 1, \perp\}$

Promise problems

- A **promise problem** is a partially defined function $f: \{0, 1\}^* \rightarrow \{0, 1, \perp\}$
- An algorithm A solves a promise problem f iff $\forall x (f(x) \in \{0, 1\} \Rightarrow A(x) = f(x))$ (\perp represents undefined so when $f(x) = \perp$ there is no guarantee for A 's output)

Promise problems

- A **promise problem** is a partially defined function $f: \{0, 1\}^* \rightarrow \{0, 1, \perp\}$
- An algorithm A solves a promise problem f iff $\forall x (f(x) \in \{0, 1\} \Rightarrow A(x) = f(x))$ (\perp represents undefined so when $f(x) = \perp$ there is no guarantee for A 's output)
- We can define $\text{promise}\mathcal{C}$ for every complexity class \mathcal{C}

Promise problems

- A **promise problem** is a partially defined function $f: \{0, 1\}^* \rightarrow \{0, 1, \perp\}$
- An algorithm A solves a promise problem f iff $\forall x (f(x) \in \{0, 1\} \Rightarrow A(x) = f(x))$ (\perp represents undefined so when $f(x) = \perp$ there is no guarantee for A 's output)
- We can define $\text{promise}\mathcal{C}$ for every complexity class \mathcal{C}

Definition (promiseMA)

Let f be a promise problem. $f \in \text{promiseMA}$ if for every $x \in \{0, 1\}^* \exists$ polynomials p, q and a polynomial time algorithm A s.t.:

$$f(x) = 1 \Rightarrow \exists y \in \{0, 1\}^{q(|x|)}, \exists z \in \{0, 1\}^{p(|x|)} \Pr[A(x, y, z) = 1] \geq 2/3$$

$$f(x) = 0 \Rightarrow \exists y \in \{0, 1\}^{q(|x|)}, \exists z \in \{0, 1\}^{p(|x|)} \Pr[A(x, y, z) = 1] \leq 1/3$$

Unnatural proofs

Theorem

$PSPACE \not\subseteq SIZE(n^c)$

Unnatural proofs

Theorem

$PSPACE \not\subseteq SIZE(n^c)$

Theorem (R. Santhanam, 2007)

$promiseMA \not\subseteq SIZE(n^c)$

Unnatural proofs

Theorem

$PSPACE \not\subseteq SIZE(n^c)$

Theorem (R. Santhanam, 2007)

$promiseMA \not\subseteq SIZE(n^c)$

Unnatural proofs

Theorem

$$PSPACE \not\subseteq SIZE(n^c)$$

Theorem (R. Santhanam, 2007)

$$promiseMA \not\subseteq SIZE(n^c)$$

the proof of the first theorem uses diagonalization and the proof of the second theorem uses the first result

Moral

- We showed that we can use a natural property (one that holds for a nonnegligible fraction of boolean functions and can easily be checked) to distinguish a pseudorandom function from a truly random function

Moral

- We showed that we can use a natural property (one that holds for a nonnegligible fraction of boolean functions and can easily be checked) to distinguish a pseudorandom function from a truly random function
- So, we cannot use natural proofs to prove circuit lower bounds in complexity classes where pseudorandom generators exist, like NC^1 (parallel log-time and polynomial number of processors) or TC^0 (constant depth, polynomial size, unbounded-fanin)

Moral


- We showed that we can use a natural property (one that holds for a nonnegligible fraction of boolean functions and can easily be checked) to distinguish a pseudorandom function from a truly random function
- So, we cannot use natural proofs to prove circuit lower bounds in complexity classes where pseudorandom generators exist, like NC^1 (parallel log-time and polynomial number of processors) or TC^0 (constant depth, polynomial size, unbounded-fanin)
- It is interesting that we used computational complexity to shed light on a metamathematical question about computational complexity

Moral

- We showed that we can use a natural property (one that holds for a nonnegligible fraction of boolean functions and can easily be checked) to distinguish a pseudorandom function from a truly random function
- So, we cannot use natural proofs to prove circuit lower bounds in complexity classes where pseudorandom generators exist, like NC^1 (parallel log-time and polynomial number of processors) or TC^0 (constant depth, polynomial size, unbounded-fanin)
- It is interesting that we used computational complexity to shed light on a metamathematical question about computational complexity
- But remember that we used a condition (existence of one-way functions) that is stronger than $P \neq NP...$

Bios


Bios

Alexander Razborov (1963-) 
Nevanlinna Prize
(Approximation method, 1990),
Gödel Prize (Natural Proofs,
2007)




University of Chicago

Bios

Alexander Razborov (1963-) 
Nevanlinna Prize
(Approximation method, 1990),
Gödel Prize (Natural Proofs,
2007)



University of Chicago

Steven Rudich (1961-) 
Gödel Prize (Natural Proofs,
2007)





Carnegie Mellon University

References






A.Razborov, S. Rudich. Natural Proofs, J. Comput. Syst. Sci. 55(1): 24-35 (1997)




References

-  **A.Razborov, S. Rudich.** Natural Proofs, J. Comput. Syst. Sci. 55(1): 24-35 (1997)
-  **Timothy Y. Chow.** What is a natural proof?, Notices of the AMS Volume 58, Number 11, December 2011




References

-  **A.Razborov, S. Rudich.** Natural Proofs, J. Comput. Syst. Sci. 55(1): 24-35 (1997)
-  **Timothy Y. Chow.** What is a natural proof?, Notices of the AMS Volume 58, Number 11, December 2011
-  **Sanjeev Arora, Boaz Barak.** Computational Complexity: A modern Approach, Cambridge Univeristy Press 2009

References

-  **A.Razborov, S. Rudich.** Natural Proofs, J. Comput. Syst. Sci. 55(1): 24-35 (1997)
-  **Timothy Y. Chow.** What is a natural proof?, Notices of the AMS Volume 58, Number 11, December 2011
-  **Sanjeev Arora, Boaz Barak.** Computational Complexity: A modern Approach, Cambridge Univeristy Press 2009

References

-  **A.Razborov, S. Rudich.** Natural Proofs, J. Comput. Syst. Sci. 55(1): 24-35 (1997)
-  **Timothy Y. Chow.** What is a natural proof?, Notices of the AMS Volume 58, Number 11, December 2011
-  **Sanjeev Arora, Boaz Barak.** Computational Complexity: A modern Approach, Cambridge Univeristy Press 2009

THANK YOU!